

# Summary of alerts by type

MUFG EXCHANGE

## PAYMENT ALERTS

| Alert Type  | Description  | Customizations Available   |
|---|--|--|
| Rejected Today                                      | Email is generated when a payment(s) is rejected.  | <ul style="list-style-type: none"> <li>Select account(s)</li> <li>Select payment type(s)</li> <li>Specify debit amount: less than, greater than, in range, equal to</li> <li>Specify credit amount: less than, greater than, in range, equal to</li> </ul>   |
| Automatically Created                               | Notifies you of payments automatically created based on scheduled payment settings.  | <ul style="list-style-type: none"> <li>Select account(s)</li> <li>Select payment type(s)</li> <li>Specify debit amount: less than, greater than, in range, equal to</li> <li>Specify credit amount: less than, greater than, in range, equal to</li> </ul>   |
| Approval Window Passed                              | Email is sent when transactions were not approved prior to the cutoff time. The value date needs to be adjusted to a valid business day and resubmitted for approval in order to be processed. | <ul style="list-style-type: none"> <li>Choose between Set Alert Timing or Receive Immediately</li> <li>If Setting Alert Timing, specify time of day to receive (1 time daily per alert set-up)</li> <li>Select payment type(s)</li> <li>Specify debit amount: less than, greater than, in range, equal to</li> <li>Specify credit amount: less than, greater than, in range, equal to</li> </ul> |
| Payment Cutoff Time Warning                         | Email is sent when payments or transfers are within a specified time prior to a cutoff time.   | <ul style="list-style-type: none"> <li>Choose between Set Alert Timing or Receive Immediately</li> <li>If Setting Alert Timing, specify time of day to receive (1 time daily per alert set-up)</li> <li>Select payment type(s)</li> <li>Specify debit amount: less than, greater than, in range, equal to</li> <li>Specify credit amount: less than, greater than, in range, equal to</li> </ul> |
| File Import Confirmation for Payments and Transfers | Email is sent when payments or transfers are successfully or unsuccessfully uploaded.  | None   |
| Exchange Rate Needed                                | Email is generated when there are FX Wire payments needing a foreign exchange rate.  | <ul style="list-style-type: none"> <li>Select time prior to cut-off to receive alert (options from 30 min to 4 hours prior)</li> <li>Select payment type(s)</li> <li>Specify debit amount: less than, greater than, in range, equal to</li> <li>Specify credit amount: less than, greater than, in range, equal to</li> </ul>  |
| Processed   | Email is sent when payment status is changed to specified status: Received by Bank, Confirmed by Bank, Rejected by Bank. Only available for wires and transfers.                               | <ul style="list-style-type: none"> <li>Select Payment Status(es) (Received by Bank, Confirmed by Bank, Rejected By Bank)</li> <li>Select payment type(s)</li> <li>Specify debit amount: less than, greater than, in range, equal to</li> <li>Specify credit amount: less than, greater than, in range, equal to</li> </ul>   |

| Alert Type  | Description   | Customizations Available   |
|---|---|--|
| Prefunding Check  | Email is sent when ACH transaction fails Prefund Check. Relevant only for PreFund ACH Originators without credit line.<br><b>NOTE: It is strongly recommended that all PreFund ACH Originators set up this alert.</b> | <ul style="list-style-type: none"> <li>Specify time of day to receive (1 time daily per alert set-up)</li> </ul>   |
| Transactions Processing Status Changed for Payments and Transfers | Payment status: Approved, acknowledged, confirmed, rejected.  | <ul style="list-style-type: none"> <li>Select Payment Status(es) (Approved, Bank Acknowledged, Bank Confirmed, Bank Received, Bank Confirmed, Bank Rejected)</li> <li>Select payment type(s)</li> <li>Specify debit amount: less than, greater than, in range, equal to</li> <li>Specify credit amount: less than, greater than, in range, equal to</li> </ul> |
| Templates Awaiting My Approval                                    | Email is sent when a template or templates is in entered status and awaiting approval: Time, payment type.  | <ul style="list-style-type: none"> <li>Choose between Set Alert Timing or Receive Immediately</li> <li>If Setting Alert Timing, specify time of day to receive (1 time daily per alert set-up)</li> <li>Select payment type(s)</li> </ul>  |
| ACH Reversal Created  | Email is sent when an ACH payment reversal has been initiated   | None   |
| Payments Awaiting My Approval                                     | Email is generated when a payment is awaiting approval  | <ul style="list-style-type: none"> <li>Choose between Set Alert Timing or Receive Immediately</li> <li>If Setting Alert Timing, specify time of day to receive (1 time daily per alert set-up)</li> <li>Select payment type(s)</li> </ul>  |
| Approver Rejected Payments  | Notifies you of any payments rejected during the approval process.  | <ul style="list-style-type: none"> <li>Specify time of day to receive alert (1 time daily per alert set-up)</li> <li>Select payment type(s)</li> <li>Specify debit amount: less than, greater than, in range, equal to</li> <li>Specify credit amount: less than, greater than, in range, equal to</li> </ul>  |

## BALANCE AND TRANSACTION ALERTS

| Alert Type               | Description  | Customizations Available   |
|--------------------------|--|--|
| Summary Balance          | Email is generated when a specified account balance meets specified criteria   | <ul style="list-style-type: none"> <li>Select account(s)</li> <li>Select balance type</li> <li>Select amount: less than, greater than, in range, equal to</li> </ul>   |
| Transaction Notification | Email is sent when transaction meeting specified criteria is posted. Most commonly used for incoming wire notifications. | <ul style="list-style-type: none"> <li>Select account(s)</li> <li>Select transaction type</li> <li>Select amount: less than, greater than, in range, equal to</li> <li>Select debit/credit/both</li> <li>Specify Customer Reference</li> </ul> |

## ADMINISTRATION ALERTS

| Alert Type                           | Description   | Customizations Available                       |
|--------------------------------------|---|--|
| User Alert                           | Email is generated when a user is added, modified, approved, or deleted.  | Choose action(s): Add, Modify, Delete, Approve |
| Role Maintenance                     | Email is generated when a Role is added, modified, approved, or deleted.  | Choose action(s): Add, Modify, Delete, Approve |
| Beneficiary Address Book Maintenance | Email is generated when a Beneficiary is added, modified, approved, or deleted within the Beneficiary Address Book. | Choose action(s): Add, Modify, Delete, Approve |

## UTILITIES ALERTS

| Alert Type                    | Description   | Customizations Available   |
|-------------------------------|---|--|
| Legacy System Report Received | Email is generated when a new Legacy Report meeting specified criteria is made available. | <ul style="list-style-type: none"> <li>Choose Legacy Report ID(s)</li> <li>Legacy Report IDs to which the user is entitled will appear on drop-down list, user can multi-select</li> </ul> |

## CHECK MANAGEMENT ALERTS

| Alert Type  | Description  | Customizations Available |
|---|--|--------------------------|
| File Import Confirmation for Stops and Cancel Stop Payments | Email is generated when a file of Stop Payments or Cancel Stop Payments is imported. | None                     |

## PAYMENT AUTOMATION ALERTS

(Note: Only available for customers with Payment Automation)

| Alert Type   | Description   | Customizations Available |
|--|---|--------------------------|
| Successful File Import via Payment Automation        | Email is generated when payment file is successfully imported via Payment Automation. | None                     |
| Failed Successful File Import via Payment Automation | Email is generated when payment file is successfully imported via Payment Automation. | None                     |

## ACCOUNT ACTIVITY

| Check Manager — Summary of Events by Service and Type |   |   |
|---|---|---|
| Event Name  | Description   | Customizations Available  |
| AcctActivity — Current Day                            | Provides a notification of your available account balance   | <ul style="list-style-type: none"> <li>Select accounts</li> <li>Select start date</li> <li>Select end date</li> <li>Select up to four (4) times to be alerted or frequency of reporting</li> <li>Choose to include all details for the day or only details since the last time reported</li> <li>Choose to include US Holidays and/or Sundays and/or exclude Fridays</li> <li>Choose to be notified only when total balance is under or over a specified amount</li> <li>Choose from Summary Balance, Detailed Balance, or Customer report</li> <li>Minimum detail amount is optional</li> <li>Choose the type codes to be included</li> <li>Choose to include Check Detail or not</li> <li>Choose to include ACH Addenda or not</li> </ul> |
| AcctActivity — Disbursement Totals                    | Provides a notification when current day First and/or Second Presentment Totals have been updated | <ul style="list-style-type: none"> <li>Select accounts</li> <li>Select start date</li> <li>Select end date</li> <li>Notify when 1st and/or 2nd present totals are available</li> <li>Choose Summary or Detail Balance</li> <li>Choose include Check Detail</li> <li>Choose include ACH Addenda</li> </ul>   |

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**Check Manager — Summary of Events by Service and Type**

| Event Name                     | Description   | Customizations Available  |
|--------------------------------|---|---|
| AcctActivity — Prior Day       | Generates a notification when prior day files have been updated   | <ul style="list-style-type: none"><li>• Select accounts</li><li>• Select start date</li><li>• Select end date</li><li>• Choose from Summary Balance, Detailed Balance, or Customer report</li><li>• Minimum detail amount is optional</li><li>• Choose the type codes to be included</li><li>• Choose to include Check Detail or not</li><li>• Choose to include ACH Addenda or not</li></ul> |
| AcctActivity — Prior Day Banks | Generates a notification when prior day files either have or have not reported prior day data by a specified timeframe. | <ul style="list-style-type: none"><li>• Select accounts</li><li>• Select start date</li><li>• Select end date</li><li>• Select up to four (4) times to be alerted</li><li>• Choose to Sundays and/or exclude Fridays</li><li>• Choose to include selections that have reported and/or selections that have not reported</li></ul>   |

**LOCKBOX****Check Manager — Summary of Events by Service and Type**

| Event Name                | Description  | Customizations Available   |
|---------------------------|--|--|
| LBX — Automated Wholesale | Provides a notification of lockbox activity based on your selection of a designated time or any time there is activity | <ul style="list-style-type: none"><li>• Select accounts</li><li>• Select start date</li><li>• Select end date</li><li>• Select up to four (4) times to be alerted or frequency of reporting</li><li>• Choose to include all details for the day or only details since the last time reported</li><li>• Choose to include US Holidays and/or Sundays and/or exclude Fridays</li><li>• Choose to be notified only when total balance is under or over a specified amount</li><li>• Choose from Summary Balance, Detailed Balance, or Customer report</li><li>• Minimum detail amount is optional</li><li>• Choose the type codes to be included</li><li>• Choose to include Check Detail or not</li><li>• Choose to include ACH Addenda or not</li></ul> |
| LBX — Availability        | Provides a notification at a designated time letting you know whether or not your company has activity                 | <ul style="list-style-type: none"><li>• Select accounts</li><li>• Select start date</li><li>• Select end date</li><li>• Notify when 1st and/or 2nd present totals are available</li><li>• Choose Summary or Detail Balance</li><li>• Choose include Check Detail</li><li>• Choose include ACH Addenda</li></ul>  |

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## POSITIVE PAY

| Check Manager — Summary of Events by Service and Type |   |   |
|---|---|---|
| Event Name  | Description   | Customizations Available  |
| PosPay — Suspect Items                                | Notification each day indicating if you have suspect items that day.  | <ul style="list-style-type: none"><li>• Select accounts</li><li>• Select start date</li><li>• Select end date</li><li>• Hold messages until specified time</li><li>• Stop messages at a specified time</li></ul>  |
| PosPay — Suspects Pending                             | Notification is generated each day at a designated time letting you know if suspect items are pending action or pending approval. | <ul style="list-style-type: none"><li>• Select accounts</li><li>• Select start date</li><li>• Select end date</li><li>• Notify at a specific time</li><li>• Choose if notification is for decisions pending action or decisions pending release</li></ul> |

## STATEMENTS

| Check Manager — Summary of Events by Service and Type |   |   |
|---|---|---|
| Event Name  | Description   | Customizations Available  |
| Statements — Account Statement                        | Event Manager delivers the Account Statement whenever it's available for the current month, and the report is saved in Event History. | <ul style="list-style-type: none"><li>• Select accounts</li><li>• Select start date</li><li>• Select end date</li></ul> |